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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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2 Sheet 2

| Cor                    | mplete if Known   |
|------------------------|-------------------|
| Application Number     | 10/079,512        |
| Filing Date            | February 22, 2002 |
| First Named Inventor   | Shunpei YAMAZAKI  |
| Art Unit               | 2826              |
| Examiner Name          | Remmon R. Forde   |
| Attorney Docket Number | 740756-2441       |

| Examiner<br>Initials | Cite<br>No.1 | Foreign Patent Document  Kind Code  Country Code  Number  (if brown)   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Application of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant Figures<br>Appear | T*       |  |
|----------------------|--------------|--|--------------------------------|--|---|----------|--|
| 1.7.                 |              | JP 04-258160   | 09-14-1992                     | Miyake   | _   | Abstract |  |
| 1.7.                 |              | JP 04-369271   | 12-22-1992                     | Takeda et al.  |   | Full     |  |
| 1.7.                 |              | JP 05-102483   | 04-23-1993                     | Ueda   |   | Full     |  |
|                      |              | JP 06-148685   | 05-27-1994                     | Nakazaono et al.                                     |   | Full     |  |
| 1.7                  |              | JP 07-130652   | 05-19-1995                     | Ohtani et al.  |   | Abstract |  |
| 7                    |              | JP 07-235680   | 09-05-1995                     | Goto et al.  |   | Full     |  |
|                      |              | JP 08-078329   | 03-22-1996                     | Ohtani   |   | Abstract |  |
|                      |              | JP 08-264784   | 10-11-1996                     | Kurokawa   |   | Abstract |  |
|                      |              | JP 08-274336   | 10-18-1996                     | Uemoto et al.  |   | Full     |  |
| T                    |              | JP 09-191111   | 07-22-1997                     | Zhang  |   | Abstract |  |
| }                    |              | JP 09-293600   | 11-11-1997                     | Nakagawa et al.                                      |   | Abstract |  |
|                      |              | JP 10-104659   | 04-24-1998                     | Nakajima et al.                                      |   | Abstract |  |
|                      |              | JP 10-135468   | 05-22-1998                     | Yamazaki et al.                                      |   | Abstract |  |
| T                    |              | JP 10-135469   | 05-22-1998                     | Yamazaki et al.                                      |   | Abstract |  |
| 1                    |              | JP 10-233511   | 09-02-1998                     | Seto   |   | Full     |  |
|                      |              | JP 10-294280   | 11-04-1998                     | Yamazaki et al.                                      |   | Abstract |  |
|                      |              | JP 11-191628   | 07-13-1999                     | Yamazaki et al.                                      |   | Abstract |  |
|                      |              | JP 11-345767   | 12-14-1999                     | Yamazaki et al.                                      |   | Abstract |  |
| $\downarrow$         |              | JP 11-354442   | 12-24-1999                     | Ohtani et al.  |   | Abstract |  |
| 1.1%                 |              | JP 60-127761   | 07-08-1985                     | Fuse   |   | Abstract |  |
|                      |              | OTHER PRIO   | R ART – NON PATENT             | LITERATURE DOCUMENTS                                 |   |          |  |
| Examiner<br>nitials  | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published. |                                |  |   |          |  |
| 1.7                  |              | HATANO ET AL., "A NOVEL SELF ALIGNED GATE-OVERLAPPED LDD POLY-SI TFT WITH HIGH RELIABILITY AND PERFORMANCE", IEDM 1997, PP. 523-526  |                                |  |   |          |  |
| 1                    |              | SHIMOKAWA ET AL  | "CHARACTERIZ                   | ATION OF HIGH-EFFIC                                  | CIENCY CAST   | ·SI      |  |
| l. Z.                |              | SOLAR CELL WAFER<br>APPLIED PHYSICS, V   | S BY MBIC MEAS                 | SUREMENT", JAPANES                                   | SE JOURNAL C  | OF T     |  |
|                      |              |  |                                |  |   |          |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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| Attorney Docket Number | 740756-2441   |  |
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| U.S. PATENT DOCUMENTS |          |  |                  |  |   |
|-----------------------|----------|--|------------------|--|---|
| Examiner<br>Initials* | ials No. | U.S. Patent Document                       | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where                    |
| -                     |          | Number - Kind Code <sup>3</sup> (if known) |                  |  | Relevant Passages or Relevant<br>Figures Appear |
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| _                     |          | US-  |                  |  |   |

|                      |                          | FC   | REIGN PATENT D                          | OCUMENTS   |   |          |
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|                      |                          | Country Code Number (if known)   |   |  |   | _        |
| 1-7.                 | <u> </u>                 | JP-06-148685   | 05/27/1994                              | NAKAZONO et al.                                      |   | Full     |
| 1.7.                 | ∔                        | JP-08-264784   | 10/11/1996                              | KUROKAWA   | · · · · · · · · · · · · · · · · · · ·   | Partial  |
|                      |                          | OTHER PRIOR A  | ART - NON PATENT I                      | LITERATURE DOCUMENTS                                 |   | <u> </u> |
| Examiner<br>Initials | Cite<br>No.              | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.),, date, page(s), volume-issue number(s), publisher, city and/or country where published. |   |  |   |          |
| A. Z.                |                          | U.S. Patent Application Se<br>Yamazaki; "Method of Fal<br>Abstract, Drawings, Offici<br>English Translation of Japa  | oricating a Semico<br>al Filing Receipt | onductor Device" (Speci<br>and Official Action date  | fication;. Claims,<br>d 3/12/04 with Parti                                      | al       |
|                      |                          |  |   |  |   |          |
|                      |                          |  |   |  |   |          |
|                      |                          | <u> </u>   |   |  |   |          |

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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